Hydrogen-Bond Directed Regioselective Pd-Catalyzed Asymmetric Allylic Alkylation: The Construction of Chiral α -Amino Acids with Vicinal Tertiary and Quaternary Stereocenters

Xuan Wei,^{†,§} Delong Liu,^{†,§} Qianjin An,[†] and Wanbin Zhang*^{,†,‡}

† School of Ph[arm](#page-3-0)acy, Shanghai Ji[ao](#page-3-0) Tong University, 800 Dongchuan Road, [Sh](#page-3-0)anghai 200240, P. R. China

‡ School of Chemistry and Chemical Engineering, Shanghai Jiao Tong University, 800 Dongchuan Road, Shanghai 200240, China

S Supporting Information

[AB](#page-3-0)STRACT: [A Pd-catalyze](#page-3-0)d asymmetric allylic alkylation of azlactones with 4-arylvinyl-1,3-dioxolan-2-ones was developed, providing "branched" chiral α-amino acids with vicinal tertiary and quaternary stereocenters, in high yields and with excellent selectivities. Mechanistic studies revealed that the formation of a hydrogen bond between the Pd-allylic complex and azlactone

isomer is responsible for the excellent regioselectivities. This asymmetric alkylation can be carried out on a gram scale without a loss of catalytic efficiency, and the resulting product can be further transformed to a chiral azetidine in two simple steps.

 \sum tereochemically rigid quaternary α -amino acids are essential building blocks for the construction of unnatural peptides and proteins with improved and unusual biological properties, in that their rigid structure increases the stability of proteins and restricts their conformational flexibility.¹ They can also serve as novel drugs and are key intermediates for drug synthesis.² Approaches toward the synthesis of q[ua](#page-3-0)ternary α -amino acids have therefore attracted much attention.³ However, the synthes[is](#page-3-0) of sterically demanding quaternary α -amino acids bearing vicinal tertiary and quaternary stereocenters re[m](#page-3-0)ains a neglected area of research.³

Due to their convenient synthesis, azlactones have proven to be valua[bl](#page-3-0)e synthons for the synthesis of stereochemically rigid unnatural amino acids. $4,5$ Among them, strategies employing the asymmetric allylic alkylation of azlactones have received less [a](#page-3-0)ttention than others, 5 although metal-catalyzed allylic alkylations have become powerful and versatile synthetic methods in organic synthesis.⁶ Tr[os](#page-3-0)t reported Pd-catalyzed allylic alkylations of a variety of azlactones with allyl acetates to afford linear products with ex[ce](#page-3-0)llent diastereo- and/or enantioselectivities.⁵¹ Recently, Jiang reported a Brønsted acid accelerated Pd-catalyzed asymmetric allylic alkylation of azlactones with simple ally[lic](#page-3-0) alcohols, providing linear alkylated products in excellent yields and with good enantioselectivities.^{5e} However, in the case of the above-mentioned procedures, only linear alkylated products can be obtained when unsymmetrical [acy](#page-3-0)clic allyl substrates are used. Although Mo- and Ir-catalyzed asymmetric allylic alkylations of azlactones were developed by $Trost^{5c}$ and Hartwig,^{5d} respectively, to give branched products with high regio-, diastereo-, and enantioselectivities, a Pd-catalyzed as[ym](#page-3-0)metric allylic [al](#page-3-0)kylation of azlactones for the preparation of stereochemically rigid branched quaternary products is yet to be realized.⁷

We previously developed a Pd-catalyzed asymmetric allylic amination with a novel allylic substrate, 4-arylvinyl-[1,](#page-3-0)3-dioxolan-2-one, using potassium phthalimide as a nucleophile. 8 The "linear" amination products ("linear" and "branched" products are defined as the nucleophilic group being positioned away from and adjacent to the aromatic ring, respectively) could be obtained exclusively with excellent catalytic results. During our research concerning the effect of hydrogen bonds on allylic substitutions, $\frac{5}{3}$ we envisaged that the allylic alkylation of azlactones could be manipulated to give "branched" unnatural amino acids bearin[g](#page-3-0) vicinal tertiary and quaternary stereocenters. Hydrogen-bond formation between the Pd-allylic complex and azlactone isomer could be used to position the substrate for the desired alkylation (Scheme 1). Additionally, a possible $\pi-\pi$ interaction between

the phenyl ring of the Pd-allyl complex and the unsaturated bond/phenyl ring of the azlactone may also contribute to the regioselectivity. The resulting products bearing an additional hydroxyl group will allow for further manipulation.

Thus, the Pd-catalyzed asymmetric allylic alkylation of azlactones $(1a)$ with 4-styryl-1,3-dioxolan-2-one $(2a)$ was investigated using a catalyst system consisting of $\lbrack \mathrm{Pd}(\eta^{3}-\eta^{3})\rbrack$ C_3H_5)Cl]₂ with L1−6 (Figure 1). Planar chiral metallenyl P,Nligands were first used, which have proven to be efficient ligands in several Pd-catalyzed [asymmetr](#page-1-0)ic substitution reactions.¹⁰ The

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R = *i*-Pr: **L1a** (6 mol %) R = *i*-Pr: **L2a** (3 mol %) R = *i*-Pr: **L3a** (6 mol %) R = *i*-Pr: **L4a** (3 mol %) 2 h, 81% yield, 12% ee 2 h, 77% yield, 5% ee 2 h, 80% yield, 83% ee 2 h, 87% yield, 22% ee R = t-Bu: L1b (6 mol %)R = t-Bu: L2b (3 mol %) R = t-Bu: L3b (6 mol %) R = t-Bu: L4b (3 mol %) 2 h, 83% yield, 49% ee 2 h, 85% yield, 5% ee 2 h, 83% yield, 5% ee 2 h, 85% yield, 5% ee 2 h, 85% yield, 53% ee

Figure 1. Ligand screening. Reactions of 1a (0.12 mmol) and 2a (0.10 mmol) were catalyzed by a catalytic system of $[\text{Pd}(\eta^3\text{-}C_3\text{H}_5)\text{Cl}]_2$ (2.5 mol %) and L (3–11 mol %) in the presence of Et_3N (1.0 equiv) and 4 Å MS (20 mg) in DCM (2.0 mL) under a N_2 atmosphere at 25 °C. After the solvent was removed in vacuo, MeONa (1.5 equiv in 2.0 mL MeOH) was added and the mixture was stirred for another 12 h. All reactions afforded >99:1 dr determined by ${}^{1}H$ NMR analysis of the crude reaction mixtures. The yields were isolated ones; ee's were determined by HPLC analysis.

reaction proceeded smoothly providing the anticipated "branched" alkylation product with good yields. However, enantioselectivities were low (less than 50% ee, L1−L4). Then, axially chiral O,O,P,N-ligands L5 were used in the reaction. L5a with the least steric hindrance on the biphenyl skeleton delivered the best results; however, the desired product was only obtained with 45% ee. To examine the effect the ligand skeleton had on the reaction, L6a bearing the same central chirality but different axial chirality to L5a was used as a chiral ligand. To our delight, the reaction proceeded smoothly in high yield and with excellent enantioselectivity (91% ee). Further altering of the central chiral group resulted in reduced enantioselectivities (L6b and L6c).

The effect of different additives on the reaction was therefore investigated using $L6a$ as a chiral ligand (Table 1). In the absence of an additive, the reaction went to completion within 8 h, providing the desired product with 90% yield and 91% ee (entry 1). When AgSb F_6 was added, a similar yield was obtained but a sharp reduction in enantioselectivity was observed (entries 1 and 2). AgBF4, AgOTf, and KOAc were also examined. The reactions proceeded smoothly with excellent enantioselectivities but only moderate yields, even after 24 h (entries 3−5). To our delight, similar catalytic behavior but increased reaction activity was observed when NaBA r_F was used as an additive (entry 6). This may be due to the improved catalytic activity caused by the larger, noncoordinating BAR_F counterion.¹

Solvent also had a significant effect on the reaction (Table 2). 1,2-Dichloroethane gave a similar [ee](#page-3-0) but lower reaction activity than that of DCM (entries 1 and 2). Ether solvents such as THF, DME, and $Et₂O$ gave excellent enantioselectivities but only

Table 1. Additive Screening^a

^aThe procedure and determination of the dr's were similar to those described in Table 1, except that L6a was used as a chiral ligand in the presence of different additives. All reactions afforded >99:1 dr.
^bIsolated yields. ^cDetermined by HPLC analysis.

Table 2. Solvent and Temperature Screening^a

Ph			1) [Pd(η^3 -C ₃ H ₅)Cl] ₂ / L6a NaBAr _F , Et3N, solvent, temp	MeO ₂ C	Me NHOCPh
$1a^{\text{Me}}$	Ph 2a	2) MeONa, MeOH		Ph	3a
entry	solvent	temp $({}^{\circ}C)$	t(h)	yield $(\%)^b$	ee $(\%)^c$
1	DCM	25	$\overline{4}$	92	90
\overline{c}	DCE	25	12	77	90
3	THF	25	12	71	91
4	DME	25	12	67	97
5	Et ₂ O	25	12	68	95
6	MeCN	25	12	37	75
7	PhMe	25	5	92	93
8	PhMe	Ω	20	89	96
9	PhMe	-25	72	75	96
10	PhMe	50	$\overline{2}$	59	81

^aThe procedure and determination of the dr's were similar to those described in Table 1, except that L6a was used as a chiral ligand in the presence of $NaBAr_F$ in different solvents at a certain temperature. All reactions afforded >99:1 dr. ^bIsolated yields. ^cDetermined by HPLC analysis.

moderate yields (entries 3−5). Poor yield and moderate enantioselectivity were observed when MeCN was used as a solvent (entry 6). PhMe was tested to be the best solvent in the reaction (entry 7). The effect of temperature on the reaction was then examined in PhMe (entries 8−10), with a temperature of 0 °C providing the best result. Thus, subsequent reactions were catalyzed by a catalytic system consisting of $[\text{Pd}(\eta^3\text{-C}_3\text{H}_5)\text{Cl}]_2$ and L6a using Et₃N as a base in the presence of NaBAr_F and 4 Å MS in PhMe at 0 °C.

The substrate scope was then investigated (Table 3). First, the effect the steric hindrance of the $R¹$ group of 1 had on the reaction was examined. It was shown that th[e ee of t](#page-2-0)he desired product decreased when the steric hindrance of $R¹$ increased (entries 1-3). Electron-donating and -withdrawing R^2 substituents provided similar catalytic behaviors (entries 4 and 5). When substrates bearing electron-donating Me and MeO groups on the phenyl rings were used, good to excellent yields and enantioselectivities were obtained (entries 6−11). Substrates bearing an ortho-substituent on the phenyl ring provided the best enantioselectivities but lower yields (entries 6 and 9). Replacing the electron-donating substituent with an electron-withdrawing group, such as a F atom, had no adverse effect on the reaction with up to 99% ee being obtained for a substrate bearing a F atom

Table 3. Scope of Substrate^a

^aThe procedure and determination of the dr's were similar to those described in Table 1, except that L6a was used as a chiral ligand in the presence of NaBAr_F in PhMe at 0 °C. All reactions afforded >99:1 dr.
^bIsolated yie[lds.](#page-1-0) ^cDetermined by HPLC analysis.

at the para-position of the phenyl ring (entries 12−14). Several substrates with an electron-withdrawing group at the paraposition of the phenyl ring were examined, and both high yields and excellent enantioselectivities were obtained (entries 15−18). When the phenyl ring was replaced by naphthalene, high yields and excellent enantioselectivities were also obtained (entries 19 and 20). The phenyl ring could also be replaced by a furan group, with the desired product being prepared in high yield but lower enantioselectivity (entry 21).

Crystals suitable for X-ray analysis were obtained via recrystallization of 3a from a mixed solvent system of dichloromethane and n -hexane. The ORTEP diagram of $3a$ showed that the absolute configuration of the alkylated product could be assigned with an (S,R) -configuration (Figure 2).¹²

The formation of the above-mentioned "branched" allylic alkylated products suggested our hypothesis that a hyd[rog](#page-3-0)enbond and/or a $\pi-\pi$ interaction may have an important effect on

Figure 2. ORTEP diagram of 3a.

regioselectivity of the reaction to be correct (Scheme $1, A$). To further validate our original hypothesis, the Pd-catalyzed asymmetric allylic alkylation of 1a with (E) -[4-phenylb](#page-0-0)ut-3-en-2-yl acetate (5) was carried out in order to avoid hydrogen-bond formation (Scheme 2). Under the above-mentioned optimal

Scheme 2. Alkylation with 5 as an Allylic Substrate

reaction conditions, the reaction proceeded smoothly to afford 6b in 92% yield and 85% ee after 16 h. However, product 6a was not obtained. This result suggested that a hydrogen bond is required for the regioselective formation of "branched" products.

In order to determine whether a possible $\pi-\pi$ interaction contributed to the regioselectivity of the reaction (Scheme $1, A$), we first carried out the reaction with 2v as an allylic substrate (Scheme 3, eq 1). The phenyl ring was replaced by [a Me grou](#page-0-0)p to

avoid possible $\pi-\pi$ interactions (B). The reaction proceeded smoothly giving the product 3v in 83% yield and 79% ee. Additionally, substrate $2w$ bearing an extended π -system was examined, and its corresponding product 3w was obtained in 86% yield and 39% ee (Scheme 3, eq 2). These results suggest that a possible $\pi-\pi$ interaction between the phenyl ring of the Pd-allylic complex and the unsaturated bond or phenyl ring of the azlactone in A is not responsible for the regioselectivity of the reaction.

To prove the usefulness of this new synthetic methodology, the reaction between 1a and 2a was performed on a gram scale for 36 h (Scheme 4). The desired product 3a was obtained in good yield (1.7 g, 83%) with excellent enantioselectivity (96% ee). After acetylation of 3a with Ac_2O to give the allylic substrate 7, the chiral azetidine 8 was then obtained from 7 in high yield and with excellent enantioselectivity via an additional Pdcatalyzed allylic amination. According to NOESY experiments, the two hydrogen atoms of the four-membered ring in 8 are of opposite configuration; a strong NOESY correlation is observed between H_b and H_a/H_d , but only a weak NOESY correlation is observed between $\rm \dot{H}_{b}/\rm \dot{H}^{-13}_{c}$ Azetidines are found in drug leads and bioactive natural products and are attracting increasing research interest.¹⁴

Scheme 4. Gram Scale Synthesis and Transformation

In summary, we have developed a Pd-catalyzed asymmetric allylic alkylation of azlactones with 4-arylvinyl-1,3-dioxolan-2 ones to give "branched" chiral alkylated α -amino acid products with vicinal tertiary and quaternary stereocenters. The products can be obtained in high yields and with excellent regio-, diastero-, and enantioselectivities. Mechanistic studies suggested that the excellent regioselectivity of our alkylation is determined by a hydrogen bond formed between the Pd-allylic complex and azlactone isomer. Additionally, the alkylation can be performed on a gram scale without a loss of enantioselectivity, and the resulting product can be further transformed to a chiral azetidine in two simple steps.

■ ASSOCIATED CONTENT

S Supporting Information

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Experimental section and copies of NMR and HPLC spectra (PDF)

■ AUTHOR INFORMATION

Corresponding Author

*E-mail: wanbin@sjtu.edu.cn.

Author Contributions

§ XW and DL contributed equally.

Notes

The authors declare no competing financial interest.

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The toc/abs graphic was corrected on November 19, 2015.